END OF MICROSOFT SUPPORT FOR WINDOWS XP OPERATING SYSTEM – APPLICABLE TO FINANCIAL INSTITUTIONS AND TECHNOLOGY SERVICE PROVIDERS

Attention: Chief Executive Officer of the Institution Addressed
Subject: End of Microsoft Support for Windows XP Operating System
Background: The Federal Financial Institutions Examination Council (FFIEC) agencies issued a joint statement attached to Supervision and Regulation Letter SR 13-16, indicating that the end of support for the Windows XP Operating System (XP) could pose operational risks to financial institutions and technology service providers (TSPs) and third parties that perform services for financial institutions.

Effective April 8, 2014, Microsoft will no longer support XP through marketing, support, or providing regular security patches. The Federal Reserve Bank of Kansas City expects supervised financial institutions and their TSPs to manage these risks to ensure their operations remain sound and they remain able to properly deliver products and services to their clients.

Attachment: SR 13-16: End of Microsoft Support for Windows XP Operating System
Contact: Questions regarding the joint statement should be directed to staff in the Operations and Information Technology Policy Section: Elton Hill, Senior Supervisory Financial Analyst, at (202) 452-2514; or Christopher Olson, Supervisory Financial Analyst, at (202) 912-4609. In addition, questions may be directed to the Board’s public website. Questions may also be directed locally to IT Coordinator Sara Nielsen at (303) 572 2530.

Internet: A copy of SR 13-16 is available on the Board’s web site at: http://www.federalreserve.gov/bankinfreg/srletters/sr1316.htm

1 The FFIEC agencies include: Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, National Credit Union Administration, Office of the Comptroller of the Currency, Consumer Financial Protection Bureau, and the State Liaison Committee.

TO THE OFFICER IN CHARGE OF SUPERVISION AT EACH FEDERAL RESERVE BANK AND TO INSTITUTIONS SUPERVISED BY THE FEDERAL RESERVE

SUBJECT: End of Microsoft Support for Windows XP Operating System

Applicability to Community Banking Organizations: This guidance applies to all financial institutions supervised by the Federal Reserve, regardless of asset size, and their technology service providers that use the Microsoft Windows XP operating system.

This letter informs institutions supervised by the Federal Reserve that the Federal Financial Institutions Examination Council (FFIEC) agencies1 issued the attached joint statement indicating that the discontinuation of support for the Microsoft Windows XP operating system (XP) could present operational risks to financial institutions and technology service providers (TSP) and third parties that perform services for financial institutions.

Effective April 8, 2014, Microsoft will no longer market, support, or provide regular security patches for XP. The Federal Reserve expects all supervised financial institutions, and their TSPs to identify, assess, and manage these risks to ensure that their operations and ability to deliver products and services are not compromised.

Questions regarding the joint statement should be directed to staff in the Operations and Information Technology Policy Section: Elton Hill, Senior Supervisory Financial Analyst, at (202) 452-2514; or Christopher Olson, Supervisory Financial Analyst, at (202) 912-4609. In addition, questions may be sent via the Board’s public website.2

Michael S. Gibson
Director

Attachment:
- Joint Statement: End of Microsoft Support for Windows XP Operating System

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1 The FFIEC agencies include: Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, National Credit Union Administration, Office of the Comptroller of the Currency, Consumer Financial Protection Bureau, and the State Liaison Committee.